

Risks reviewed by Board Committees
For ARGC meeting, 23 November 2021

The following is an account of the risks reviewed by Board Committees at recent meetings:

Regulation Committee (9 November 2021)

The risks currently being managed in the Directorate were outlined to Regulation Committee.

In this context, the Chief Inspector and the Director of Regulation provided statements to the committee on the internal controls established within the Directorates for managing their functions effectively. This included outlining their assurance sources, any gaps in those assurances and what actions they will be implementing in the coming year to address these gaps. Improvements identified included:

- continue to improve inspection report writing
- continue to engage on proposed amendment to Health Act 2007 as amended and regulations for older persons
- increase the number of induction programmes from four to five
- Implement a revised monitoring approach against the *National Standards for Safer Better Healthcare*, following the development of a new **comprehensive assessment and judgment framework, and a new "Core Assessment" against selected standards which will allow the healthcare function inspection approach to be more agile, risk based and adaptable to the likely demands of the upcoming Patient Safety Bills**
- Implement corporate development and organizational restructure programmes as they pertain to the Directorates.

Standards Information Research and Technology Committee
(17 November 2021)

The SIRT Committee received a report on the risks being managed within the HTA and HIS directorates.

In this context, the Director of Health Technology Assessment and the Director of Health Information and Standards provided statements to the SIRT committee on the internal controls established within their Directorates for managing their functions effectively. This included consideration of their assurance sources, any gaps in those assurances and what actions they will be implementing in the coming year to address these gaps. Improvements identified included:

- Implement findings from the Lessons Learnt Sessions from recent projects
- Implement recommendations from audit activities
- Revision of the HTA topic identification and prioritisation process

- Training for external stakeholders on identification and prioritisation of HTA topics
- Implement the revised Health Technology Assessment Directorate Quality Assessment Framework, updated in Q4 2021
- Snap audit of implementation of the revised Health Technology Assessment Directorate Quality Assessment Framework.

Resource Oversight Committee (ROC) 25 November 2021

In terms of addressing key risks to the organisation, the following presentations and updates will be provided to the ROC on 25 November:

- The organisational restructure project
- The future working model for HIQA
- The implementation of the Digital and Data Transformation strategy
- Agency staffing and
- **A gap analysis of HIQA's quality system against ISO requirements.**

In addition, the Chief Information Officer (CIO) the Head of Communications and the Head of Operations provided statements to the ROC committee on the internal controls established within their Directorates for managing their functions effectively. This included consideration of their assurance sources, any gaps in those assurances and what actions they will be implementing in the coming year to address these gaps.

- Implement Finance modernisation project
- Procure a Learning management system
- Implement any audit recommendations relevant to my area
- Control weaknesses/gaps or policies and SOPs out of date

Additional detail on areas for improvement are outlined in the statements of the CIO and the Head of Operations.